

# ADVANCED ENERGY INDUSTRIES INC

## FORM SC 13G (Statement of Ownership)

Filed 2/13/1998

Address	1625 SHARP POINT DR FT COLLINS, Colorado 80525
Telephone	970-221-4670
CIK	0000927003
Industry	Electronic Instr. & Controls
Sector	Technology
Fiscal Year	12/31

# SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## SCHEDULE 13G

Under the Securities Exchange Act of 1934  
(Amendment No. )\*

### **Advanced Energy Industries, Inc.**

(Name of Issuer)

#### **Common Stock .001 par value**

(Title of Class of Securities)

007973 10 0

(CUSIP Number)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

(Continued on following page(s))

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(1) Names of Reporting Persons. S.S. or I.R.S. Identification Nos. of Above  
Persons

Douglas S. Schatz

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(2) Check the Appropriate Box if a Member (a) / /  
of a Group\* (b) / /

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(3) SEC Use Only

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(4) Citizenship or Place of Organization  
United States

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Number of Shares (5) Sole Voting  
Beneficially Power 12,139,500

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Owned by (6) Shared Voting  
Each Reporting Power 0  
Person With

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(7) Sole Dispositive  
Power 12,139,500

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(8) Shared Dispositive  
Power 0

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(9) Aggregate Amount Beneficially Owned by Each Reporting Person  
12,139,500

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(10) Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares\*

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(11) Percent of Class Represented by Amount in Row (9)  
54.1%

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(12) Type of Reporting Person\*  
IN

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\*SEE INSTRUCTION BEFORE FILLING OUT!

**ITEM 1(A). NAME OF ISSUER**  
Advanced Energy Industries, Inc.

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**ITEM 1(B). ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES**  
1625 Sharp Point Drive, Fort Collins, CO 80525

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**ITEM 2(A). NAME OF PERSON(S) FILING**  
Douglas S. Schatz

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**ITEM 2(B). ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE**  
1625 Sharp Point Drive, Fort Collins, CO 80525

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**ITEM 2(C). CITIZENSHIP**  
Douglas S. Schatz is a citizen of the United States

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**ITEM 2(D). TITLE OF CLASS OF SECURITIES**  
Common Stock .001 par value

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**ITEM 2(E). CUSIP NUMBER**  
007973 10 0

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**ITEM 3. IF THIS STATEMENT IS FILED PURSUANT TO RULES 13D-1(B), OR 13D-2(B), CHECK WHETHER THE PERSON FILING IS A** Not Applicable

(a) // Broker or Dealer registered under Section 15 of the Act

(b) // Bank as defined in section 3(a)(6) of the Act

(c) // Insurance Company as defined in section 3(a)(19) of the Act

(d) // Investment Company registered under section 8 of the Investment Company Act

(e) // Investment Adviser registered under section 203 of the Investment Advisers Act of 1940

(f) // Employee Benefit Plan, Pension Fund which is subject to the provisions of the Employee Retirement Income Security Act of 1974 or Endowment Fund; see Rule 13d-1(b)(1)(ii)(F)

(g) // Parent Holding Company, in accordance with Rule 13d-1(b)(ii)(G)  
(Note: See Item 7)

(h) // Group, in accordance with Rule 13d-1(b)(1)(ii)(H)

**ITEM 4. OWNERSHIP**

(a) Amount Beneficially Owned:  
12,139,500

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(b) Percent of Class:  
54.1%

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(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote 12,139,500

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(ii) shared power to vote or to direct the vote 0

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(iii) sole power to dispose or to direct the disposition of 12,139,500

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(iv) shared power to dispose or to direct the disposition of 0

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**ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS**

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following. //

**ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON**

Not Applicable

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**ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY**

Not Applicable

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**ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP**

Not Applicable

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**ITEM 9. NOTICE OF DISSOLUTION OF GROUP**

Not Applicable

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**ITEM 10. CERTIFICATION Not Applicable**

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired in the ordinary course of business and were not acquired for the purpose of and do not have the effect of changing or influencing the control of the issuer of such securities and were not acquired in connection with or as a participant in any transaction having such purposes or effect.

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

2/13/98  
(Date)

/s/ Douglas S. Schatz

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(Signature)

President and CEO

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(Name/Title)

